

CF-17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Phillip M. Ginsberg  
Application : 09/843,093 Confirmation No.: 8423  
Filed : April 26, 2001  
For : METHODS AND SYSTEMS FOR ACCESSING  
FINANCIAL PROSPECTUS DATA  
Group Art Unit : 2131  
Examiner : Not yet assigned

Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

SUBMISSION OF FORMAL DRAWINGS

Sir:

Please substitute the enclosed formal drawings (3 sheets; FIGS. 1-3) for the informal drawings filed on April 26, 2001 with the above-identified patent application.


Respectfully submitted,

I Hereby Certify that this  
Correspondence is being  
Deposited with the U.S.  
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Addressed to:  
COMMISSIONER FOR  
PATENTS P.O. Box 2327  
ARLINGTON, VA 22202 on

June 18, 2002

Lillian Garcia

Signature of Person Signing

  
Andrew Van Court  
Reg. No. 48,506  
Agent for Applicant  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020  
Tel.: (212) 596-9000

REV. 9/00

For Other Than A Small Entity



Docket No. CF-17

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New York, New York  
June 18, 2002

Hon. Commissioner for Patents  
P.O. Box 2327  
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TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;  
☐ a Reply to Office Action; ☐ a Supplemental Amendment;  
☐ a substitute Specification; ☐ a Declaration; ☐ a  
Supplemental Declaration; ☐ a Power of Attorney; ☐ an  
Associate Power of Attorney; ☒ formal drawings; to be filed  
in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.

☐ A fee for additional claims is required.

The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA		RATE		ADDITIONAL FEES
TOTAL CLAIMS	14	-	20*	=	0		X \$ 18 =		\$ 0.00
INDEPENDENT CLAIMS	3	-	3**	=	0		X \$ 84 =		\$ 0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM							+ \$280 =		\$
* If less than 20, insert 20.							TOTAL		<u>\$ 0.00</u>
** If less than 3, insert 3.									

[ ] A check in the amount of \$\_\_\_\_\_ in payment of the filing fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[ ] Please charge \$\_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

[ ] The following extension is applicable to the Response filed herewith; [ ] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [ ] \$400.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [ ] \$920.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [ ] \$1,440.00 extension fee for response within fourth month pursuant to 37 C.F.R.

§ 1.136(a); \$1,960.00 within fifth month pursuant to  
37 C.F.R. § 1.136(a).

☐ A check in the amount of ☐ \$110.00; ☐ \$400.00;  
☐ \$920.00; ☐ \$1,440.00; ☐ \$1,960.00 in payment  
of the extension fee is transmitted herewith.

☒ The Director is hereby authorized to charge payment  
of any additional fees required under 37 C.F.R. §  
1.17 in connection with the paper(s) transmitted  
herewith, or to credit any overpayment of same, to  
Deposit Account No. 06-1075. A duplicate copy of  
this transmittal letter is transmitted herewith.

☐ Please charge the ☐ \$110.00; ☐ \$400.00;  
☐ \$920.00; ☐ \$1,440.00; ☐ \$1,960.00; extension  
fee to Deposit Account No. 06-1075. A duplicate  
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Signature of Person Signing

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Customer No.: 1473  
1251 Avenue of the Americas  
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New York, New York  
June 18, 2002

Attention: BOX PGPUB DRAWINGS  
Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

PETITION UNDER 37 C.F.R. § 1.182  
FOR ENTRY OF THE DRAWINGS

Sir:

Pursuant to 37 C.F.R. § 1.182, applicant hereby petitions for entry of the enclosed drawings (3 sheets) in the publication of this patent application. A check in the amount of \$130.00, in payment of the fee set forth in 37 C.F.R. § 1.17(h), is enclosed herewith.

The Director is hereby authorized to charge any additional extension fee due, or credit any overpayment, in connection with this Petition, to Deposit

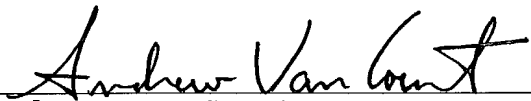
06/26/2002 SDENB001 00000024 09843093

01 FC:632

130.00 OP

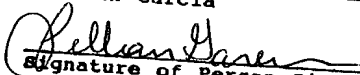
Account No. 06-1075. A duplicate copy of this Petition  
is enclosed herewith.

Respectfully submitted,

  
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